Learning from Deaths - Mortality Report

1. Background

In line with the CQC's recommendations in its review of how the NHS investigates patient deaths, the National Quality Board published a new national framework for NHS Trusts - 'National Guidance on Learning from Deaths\(^1\)'. The purpose of the new framework is to introduce a more standardised approach to the way NHS Trusts report, investigate and learn from patient deaths, which should lead to better quality investigations and improved embedded learning.

The Guidance has outlined specific requirements in relation to reporting requirements. From April 2017, the Trust is required to collect and publish each quarter, specified information on deaths. This is through a paper and Board item to a public Board meeting in each quarter, to set out the Trust’s policy and approach (by end of Q2) 2017-2018 and publication of the data and learning points by Quarter 3 2017/18. The Trust should include the total number of inpatient deaths and those deaths that the Trust has subjected to case record review. Of these deaths, subject to review, we are asked to consider how many of these deaths were judged more likely than not to have been due to problems in care.

The report presents the data so far this financial year from April 2018, incorporating new data for the periods December 2018, January and February 2019.

2. Current Position and Progress

- As a way of accessing a national database for cause of death, our application for NHS Digital continues, and the Trust is currently awaiting an outcome. This continues to be a slow process, to ensure that the Trust meets all of NHS Digital legal requirements.

- The Mortality Review Group continues to undertake regular case note reviews and there have been improvements in medic availability since the implementation of a rota for attendance from the North consultants. A rota incorporating medics from the South of the County is currently been discussed.

- Regular audits continue to be undertaken to ensure compliance with policy and procedure and any necessary changes made.

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\(^1\) National Guidance on Learning from Deaths. National Quality Board. March 2017
## 3. Data Summary of all Deaths

<table>
<thead>
<tr>
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<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Deaths Per Month</td>
<td>164</td>
<td>186</td>
<td>145</td>
<td>183</td>
<td>135</td>
<td>140</td>
<td>200</td>
<td>174</td>
<td>184</td>
<td>224</td>
<td>126</td>
</tr>
<tr>
<td>Inpatient Deaths</td>
<td>1</td>
<td>0</td>
<td>2</td>
<td>1</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>LD Referral Deaths</td>
<td>2</td>
<td>5</td>
<td>0</td>
<td>5</td>
<td>4</td>
<td>1</td>
<td>5</td>
<td>0</td>
<td>2</td>
<td>1</td>
<td>0</td>
</tr>
</tbody>
</table>

Note that inpatients and LD are based upon whether the patient has an open inpatient or LD referral at time of death.

Correct as at 01.03.2019

The table above now only shows information for this current financial year, whereas previous reports have outlined number of deaths since January 2017.

Since April 2018, the Trust has received 1,861 death notifications of patients who have been in contact with our service. Initially, the Trust recorded all deaths of patients who had contact within the last 12 months, but this was changed after discussion with Commissioners to contact within the last 6 months. This took effect from 20 October 2017.
4. **Review of Deaths**

<table>
<thead>
<tr>
<th>Total number of Deaths from 1 April 2018 – 1 March 2019 reported on Datix</th>
<th>197 (of which 152 are reported as “Unexpected deaths”; 33 as “Suspected deaths”; and 12 as “Expected - end of life pathway”)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number reviewed through the Serious Incident Group</td>
<td>195 (1 was not required to be reviewed by SI group and 1 pending for a review).</td>
</tr>
<tr>
<td>Number investigated by the Serious Incident Group</td>
<td>41 (155 did not require an investigation and 1 pending for a review)</td>
</tr>
<tr>
<td>Number of Serious Incidents closed by the Serious Incident Group?</td>
<td>138 (58 currently opened to SI group and 1 pending for a review, as at 01/03/2019)</td>
</tr>
</tbody>
</table>

The Trust has recorded 7 inpatient deaths since April 2018, of all which have been reviewed under the *Untoward Incident Reporting and Investigation Policy and Procedure*. None of these deaths have been due to problems in care.

Only deaths which meet the criteria below are reported through the Trust incident reporting system (Datix) and these are also reviewed using the process of the *Untoward Incident Reporting and Investigation Policy and Procedure*;

Any patient open to services within the last 6 months who has died, and meets the following:

- Homicide – perpetrator or victim.
- Domestic homicide - perpetrator or victim
- Suicide/self-inflicted death, or suspected suicide
- Death following overdose
- Death whilst an inpatient
- Death of an inpatient who died within 30 days of discharge from a DHCFT hospital
- Death following an inpatient transfer to acute hospital
- Death of patient on a Section of the Mental Health Act or Deprivation of Liberty Safeguards (DoLs) authorisation
- Death of patient following absconion from an inpatient unit
- Death following a physical restraint
- Death of a patient with a learning disability
- Death of a patient where there has been a complaint by family / carer / The Ombudsman, or where staff have raised a significant concern about the quality of care provision
- Death of a child (this will also be subject to scrutiny by the Child Death Overview Panel)
- Death of a patient open to safeguarding procedures at the time of death, which could be related to the death
Death of a patient with historical safeguarding concerns, which could be related to the death:

- Death where a previous Coroners Regulation 28 has been issued
- Death of a staff member whilst on duty
- Death of a child under the age of 18 of a current or previous service user who has died in suspicious circumstances
- Where an external organisation has highlighted concerns following the death of a patient whether they were open to the Trust at time of death or not

5. **Learning from Deaths Procedure**

Since April 2018, the Mortality Review Group has reviewed 76 deaths. These reviews were undertaken by a multi-disciplinary team and it was established that of the 76 deaths reviewed, 74 have been classed as not due to problems in care.4 were referred to the Serious Incident Group where 2 required no further action, and a further 2 are currently under further investigation.

The Mortality Group reviewed the deaths of patients who fall under the following ‘red flags’ from 1 November 2018:

- Patient referred to services, then assessed and, discharged without referral onto other mental health services (including liaison team)
- Patient diagnosed with a severe mental illness
- Patient only seen as an Outpatient
- Patient taking an Anti-psychotic medication

We have received 9 cause of deaths since April 2018, of these, initial analysis of death notification information shows the most prevalent causes of death are:

- Bronchopneumonia
- Heart disease
- Dementia

Undertaking Case Note Reviews of deaths has improved from the previous quarter due to the North Consultant rota which has meant that only 3 reviews have had to be cancelled due to lack of medic availability. We will be scoping the implementation of a South Consultant rota to further improve the availability of the medics.
6. Analysis of Data

6.1 Analysis of deaths per notification system since 1 April 2018

<table>
<thead>
<tr>
<th>Count</th>
<th>IAPT</th>
<th>PARIS</th>
<th>SystmOne</th>
<th>Grand Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>63</td>
<td>1647</td>
<td>151</td>
<td></td>
<td>1861</td>
</tr>
</tbody>
</table>

The data above shows the total number of deaths reported by each notification system. The majority of death notifications were predominately pulled from PARIS. This clinical record system is aligned to our largest population of patients and a population at greatest risk of death due to the proportion of older people in our care. 151 death notifications were extracted from SystmOne and 63 death notifications were extracted from IAPT.
6.2 Deaths by Gender since 1 April 2018

The data below shows the total number of deaths by gender since 1 April 2018. There is very little variation between male and female deaths; 935 male deaths were reported compared to 926 female.

<table>
<thead>
<tr>
<th></th>
<th>Female</th>
<th>Male</th>
<th>Grand Total</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Count</strong></td>
<td>926</td>
<td>935</td>
<td>1861</td>
</tr>
</tbody>
</table>

Deaths by Gender

- Female: 926
- Male: 935
- Grand Total: 1861
6.3 Death by Age Group since 1 April 2018

The youngest age was classed as 0, and the oldest age was 107 years. Most deaths occur within the 83-87 age groups (indicated by the star). In the last report, most deaths occurred between 85-89 age groups.
The Trust reviews all deaths relating to patients diagnosed with a Learning Disability. The Trust also currently sends all Learning Disability deaths that have been reported through the Datix system to the Learning Disabilities Mortality Review (LeDeR) Programme. However, we are unable to ascertain how many of these deaths have been reviewed through the LeDeR process, as LeDeR only looks at a sample of overall deaths. Currently the Lead Professional for Patient Safety and Experience is working closely with LeDeR so that the Trust can be involved moving forward in the review process. Since the last report, the Trust is now sharing relevant information with LeDeR which is used in their reviews. Since April 2018, the Trust has recorded 25 Learning Disability deaths.

The Trust now receives a quarterly update from LeDeR which highlights good practice and identified learning.
6.5 Death by Ethnicity since 1 April 2018

White British is the highest recorded ethnicity group with 1,389 recorded deaths, 323 deaths had no recorded ethnicity assigned, and 19 people did not state their ethnicity. The chart below outlines all ethnicity groups.

<table>
<thead>
<tr>
<th>Ethnicity</th>
<th>Count</th>
</tr>
</thead>
<tbody>
<tr>
<td>White - British</td>
<td>1389</td>
</tr>
<tr>
<td>Not Known</td>
<td>323</td>
</tr>
<tr>
<td>White - Any other White background</td>
<td>41</td>
</tr>
<tr>
<td>Other Ethnic Groups - Any other ethnic group</td>
<td>37</td>
</tr>
<tr>
<td>Not stated</td>
<td>19</td>
</tr>
<tr>
<td>Caribbean</td>
<td>13</td>
</tr>
<tr>
<td>Indian</td>
<td>11</td>
</tr>
<tr>
<td>White - Irish</td>
<td>9</td>
</tr>
<tr>
<td>Asian or Asian British - Pakistani</td>
<td>6</td>
</tr>
<tr>
<td>Pakistani</td>
<td>3</td>
</tr>
<tr>
<td>Mixed - Any other mixed background</td>
<td>3</td>
</tr>
<tr>
<td>Asian or Asian British - Indian</td>
<td>2</td>
</tr>
<tr>
<td>Mixed - White and Asian</td>
<td>2</td>
</tr>
<tr>
<td>Mixed - White and Black Caribbean</td>
<td>2</td>
</tr>
<tr>
<td>Asian or Asian British - Any other Asian background</td>
<td>1</td>
</tr>
<tr>
<td><strong>Grand Total</strong></td>
<td><strong>1861</strong></td>
</tr>
</tbody>
</table>
7. Recommendations and Learning

Below are examples of the recommendations that have been undertaken following the review of deaths either through the Untoward Incident Reporting and Investigation Policy and Procedure or Learning from Deaths Procedure. These recommendations are monitored by the Patient Safety Team and are allocated to a specific team, and individuals to be completed. This is not an exhaustive list.

7.1 Action Log

- Observation Policy and Procedure to be reviewed.
- Medication changes which are likely to impact upon the risk of falls should be recorded in the Multi-Disciplinary Team process and care plans updated accordingly.
- New Crisis Assessment and Home Treatment Operational Policy to be updated.
- To develop standard operating policy/procedures for Hepatitis A, B and C, HIV testing and vaccinating against Hepatitis A and B.
- The consideration of relapse reduction model including relapse signature to be a continuous quality improvement priority for mental health service in 2018.
- Clarify protocols with NHS England surrounding gatekeeping assessments for low secure services.
- Collateral information should be sought from families wherever possible to add to the clinical assessment and understanding of the presentation.
- A clinical supervision framework that ensures clinicians have routine access to professionals with clinical expertise in forensic care.
- Review of Liaison Team South’s documentation / risk assessment against expected standards.
- Site visits to be organised for junior doctors during induction.
- Community Team Learning Disability Teams to review Triangle of Care action plans with Multi-Disciplinary Team members & carers champions.
- Non-recent sexual abuse reporting process to be discussed with ward staff and all staff to be forwarded link to the Trust procedures.
- To explore the development of Eating Disorder awareness training package to the relevant Trust teams
- Development of a new standard operating procedure in the acute care pathways in the North and South for all complex case clinical reviews.
- To consider the Clinical Safety Plan becoming part of the main PARIS tree index so that it is more easily accessible to all agencies involved.